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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/764,708	01/18/2001	Katherine G. August	August 35	7584

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EXAMINER

PHAN, JOSEPH T

ART UNIT	PAPER NUMBER
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2645

DATE MAILED: 03/25/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/764,708

Applicant(s)

AUGUST, KATHERINE G.

Examiner

Joseph T Phan

Art Unit

2645

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 18 January 2001.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-34 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-34 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
 - ☐ Certified copies of the priority documents have been received in Application No. _____.
 - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date <u>3</u> . | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Claim Rejections - 35 USC § 102

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

2. **Claims 1-34 rejected under 35 U.S.C. 102(e) as being anticipated by**

Garudadri et al., Patent #6,519,479.

Regarding claim 1, Garudadri teaches a method for permitting a subscriber to perform an action available on a communications network using a spoken utterance (col.3 lines 10-67), comprising: maintaining a system state database comprising a tree structure having a plurality of nodes, each respective node of said plurality of nodes representing a particular system state of a plurality of possible system states and being associated with a predetermined node-specific grammar for the respective node (col.4 lines 38-67 and col.5 line 63-col.6 line 67); awaiting from the subscriber a spoken utterance at the particular system state, recognizing the spoken utterance by comparing the spoken utterance to the predetermined grammar for the respective node for correspondence to the particular system state and performing an action at the network represented by the spoken utterance if the spoken utterance has been recognized as the predetermined grammar for the respective node (col.6 lines 52-67).

Regarding claim 2, Garudadri teaches the method of claim 1, further comprising, after recognizing the spoken utterance, converting the spoken utterance to electronically-readable data having a format recognizable by one of the network, and transmitting the converted data to the respective one of the network(col.3 line 10-col.4 line 67).

Regarding claim 3, Garudadri teaches the method of claim 1, wherein the spoken utterance comprises a command to access one of an available feature of a plurality of features available on the network and a spoken menu of the available features (col.3 line 10-col.4 line 67).

Regarding claim 4, Garudadri teaches the method of claim 3, wherein the feature comprises one of a group consisting of call forwarding, hold, conferencing, voice-mail, call back, caller-ID, caller-ID related features and caller-ID related functions(col.7 line 45-col.8 line 50).

Regarding claim 5, Garudadri teaches the method of claim 1, wherein the node-specific grammar associated with each respective node comprises at least one of a group consisting of a word descriptive of the action to be performed, a synonym of the word, and a globally-available word available at all of said plural nodes (col.3 line 10-col.4 line 67).

Regarding claim 6, Garudadri teaches the method of claim 1, wherein the predetermined grammar for the particular node comprises grammar for multiple languages (col.3 line 10-col.4 line 67; C++, personal, English are examples of languages).

Regarding claim 7, Garudadri teaches the method of claim 6, wherein the spoken utterance of the subscriber is in one of the multiple languages, and the method further comprises the steps of: determining the one of the multiple languages of the spoken utterance of the subscriber; and communicating via the network with the subscriber via a text-to-speech translator that translates in the determined one language of the subscriber(col.2 lines 20-28, col.6 lines 35-51, and col.9 lines 54-67).

Regarding claim 8, Garudadri teaches the method of claim 1, further comprising determining a particular template to use for speech recognition from a plurality of predefined voice pattern templates, wherein the particular template comprises a subset of the predetermined grammar for the respective node, and wherein the step of recognizing the spoken utterance comprises comparing the spoken utterance to the predetermine subset of the predetermined grammar for the respective node(col.3 line 10-col.4 line 67).

Regarding claim 9, Garudadri teaches the method of claim 8, wherein the plurality of predefined voice pattern templates comprises independent templates for males, females, and children.

Regarding claim 10, Garudadri teaches the method of claim 1, further comprising the step of prompting the subscriber to issue the spoken utterance using one of a group consisting of a spoken menu generated by a text to speech translator, a recorded announcement of a menu, and a synthesized announcement of the menu(col.3 line 10-col.4 line 67).

Regarding claim 11, Garudadri teaches the method of claim 1, further comprising the steps of: transmitting, by the network, a signal to the subscriber in a data format not audibly recognizable by the subscriber; and converting the transmitted signal to an audible message recognizable to the subscriber using one of a text to speech translator, a recording of speech, and a speech synthesizer(col.2 lines 20-28, col.6 lines 35-51, and col.9 lines 54-67).

Regarding claim 12, Garudadri teaches the method of claim 11, wherein the signal transmitted by the network to the subscriber comprises one of the group consisting of an ADSI signal and a DTMF signal(col.2 lines 20-28, col.6 lines 35-51, and col.9 lines 54-67).

Regarding claim 13, Garudadri teaches the method of claim 1, wherein the action performed comprises transmitting, by the network, of a signal to a second network (col.3 line 10-col.4 line 67).

Regarding claim 14, Garudadri teaches the method of claim 1, wherein the method is performed by a speech recognition system, and the method further comprises the step of providing to the subscriber an ability to operatively toggle on and off the speech recognition system(col.5 lines 62-67).

Regarding claim 15, Garudadri teaches the method of claim 1, wherein the system state database is located on a speech processing unit coupled to the network through one of the group consisting a local communications office equipment, the Internet, a computer, a mobile phone, a headset, a handset, a base station, a set-top box, a personal digital assistant, an appliance, and a remote control, and wherein said

step of comparing the spoken utterance is performed at the location of the system state database (col.3 line 10-col.4 line 67 and col.6 lines 4-18)).

Regarding claim 16, Garudadri teaches the method of claim 1, wherein the plurality of possible system states comprises a plurality of possible steps in a call flow and an "always connected" state in which a feature may be accessed even when a call is not in progress (col.6 lines 51-67).

Regarding claim 17, Garudadri teaches the method of claim 1, further comprising: inputting a key input, and wherein the step of performing the action comprises performing the action in accordance with the spoken utterance and the key input (col.2 lines 20-28, col.6 lines 35-51, and col.9 lines 54-67).

Regarding claim 18, Garudadri teaches a communications system providing speech recognition functionality to a network (col.3 lines 10-67), comprising:
a device coupled to the network and into which an utterance may be spoken by a user, a system state database accessible to the network and defining a tree structure having a plurality of nodes, each respective node of said plural nodes representing a particular step of a plurality of possible system states and being associated with a predetermined node specific grammar for the respective node(Fig.1, col.5 line 63-col.6 line 67);

means for interpreting the user-spoken utterance and for comparing the interpreted spoken utterance to the predetermined grammar for the respective node corresponding to the particular system state to recognize the spoken utterance as corresponding to the predetermined grammar associated with the respective node (18 Fig.1 and col.5 lines

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network if the predetermined grammar has been recognized as corresponding to the respective node (20 Fig. 1, col. 4 lines 38-67 and col. 7 lines 1-65).

Regarding claim 19, Garudadi teaches the communications system claim 18, wherein the spoken utterance comprises one of a group consisting of a command to access a feature available at the network, and a spoken menu of available features at the network (col. 2 lines 28, col. 6 lines 35-51, and col. 9 lines 54-67).

Regarding claim 20, Garudadi teaches the communications system claim 18, wherein the spoken utterance comprises a command to access a feature available at the network, the feature comprising one of a group consisting of call forward hold, conferencing, voice-mail, call back, and caller-ID (col. 7 line 45-col. 8 line 63).

Regarding claim 21, Garudadi teaches the communications system of claim 18, wherein said interpreting means comprises an utterance verification engine (col. 10-col. 4 line 67).

Regarding claim 22, Garudadi teaches the communications system of claim 18, wherein said comparing means comprises a reference database which comprises the predetermined node-specific grammar associated with each respective node (col. 3 line 10-col. 4 line 67).

Regarding claim 23, Garudadi teaches the communications system of claim 2, wherein the system state and reference databases are both maintained on a speech

processing unit coupled to the network through one of a group consisting of a local communications office equipment, the Internet, a computer, a mobile phone, a headset, a handset, a base station, a set-top box, a personal digital assistant, an appliance, and a remote control(col.3 line 10-col.4 line 67, col.6 lines 35-51, and col.9 lines 54-67).

Regarding claim 24, Garudadri teaches the communications system of claim 22, wherein the node-specific grammar associated with each respective node comprises at least one of a group consisting of a word that is descriptive of the action to be performed, a synonym of said at least one word, and a globally-available word available at all of said plural nodes(col.3 line 10-col.4 line 67).

Regarding claim 25, Garudadri teaches the communications system of claim 18, wherein the predetermined grammar for the particular node comprises grammar for multiple languages(col.3 line 10-col.4 line 67; C++, personal, English are examples of languages).

Regarding claim 26, Garudadri teaches the communications system of claim 25, further comprising means for determining the language of the spoken utterance of the user, and a text-to-speech translator for 3 translating communications from a network to the user in the determined language of the user(col.3 line 10-col.4 line 67, col.6 lines 35-51, and col.9 lines 54-67).

Regarding claim 27, Garudadri teaches the communications system of claim 18, further comprising means for offering the user a spoken menu of the predetermined grammar available at the respective node in the call flow(col.3 line 10-col.4 line 67, col.6 lines 35-51, and col.9 lines 54-67).

Regarding claim 28, Garudadri teaches the communications system of claim 27, further comprising means for receiving the requested spoken menu and at least a partial text menu of the available features(col.3 line 10-col.4 line 67, col.6 lines 35-51, and col.9 lines 54-67).

Regarding claim 29, Garudadri teaches the communications system of claim 18, further comprising means for transmitting, to the user, a signal in a data format not audibly recognizable by the user, a text to speech translator, and means for converting the transmitted signal to an audible message recognizable to the user using the text to speech translator(col.3 line 10-col.4 line 67, col.6 lines 35-51, and col.9 lines 54-67).

Regarding claim 30, Garudadri teaches the communications system of claim 29, wherein the transmitted signal comprises one of a group consisting of an ADSI signal and a DTMF signal(col.3 line 10-col.4 line 67, col.6 lines 35-51, and col.9 lines 54-67).

Regarding claim 31, Garudadri teaches the communications system of claim 18, wherein the means for performing an action comprises means for transmitting a signal transmitted between networks(col.3 line 10-col.4 line 67, col.6 lines 35-51, and col.9 lines 54-67).

Regarding claim 32, Garudadri teaches the communications system of claim 18, further comprising means for toggling on and off the speech recognition and text-to-speech functionality(col.3 line 10-col.4 line 67, col.6 lines 35-51, and col.9 lines 54-67).

Regarding claim 33, Garudadri teaches the communications system of claim 18, wherein the plurality of possible system states comprises a plurality of possible steps in

a call flow and an "always connected" state in which a feature may be accessed even when a call is not in progress(col.6 lines 51-67).

Regarding claim 34, Garudadri teaches the communications system of claim 18, further comprising: means for inputting a key input, and wherein the means for performing the action comprises performing the action in accordance with the spoken utterance and the key input(col.6 lines 35-51, and col.9 lines 54-67).

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Joseph T Phan whose telephone number is 703-305-3206. The examiner can normally be reached on M-TH 9:30-6:30, in every other Friday. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Fan Tsang can be reached on 703-305-4895. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

JTP
March 12, 2004

JTP

Creighton Smith

Creighton Smith
Primary Examiner